Approved for use through 10/31/2002. OMB 0651-0032
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## UTILITY PATENT APPLICATION TDANSMITTAL

MR957-1372 Attorney Docket No. **GEORGE LIU** First Inventor AN IMPROVEMENT ON A WALLED HOLDING PLATE

| INANSIVIITIAL   | Title OF A TOOL CART  |  |  |  |  |  |  |  |
|---|---|--|--|--|--|--|--|--|
| (Only for new nonprovisional applications under 37 CFR 1.53(b))   | Express Mail Label No.  |  |  |  |  |  |  |  |
| APPLICATION ELEMENTS  | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application           |  |  |  |  |  |  |  |
| See MPEP chapter 600 concerning utility patent application contents.  Fee Transmittal Form (e.g., PTO/SB/17)  | Washington, DC 20231  |  |  |  |  |  |  |  |
| 1. (Submit an original and a duplicate for fee processing)  | 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)      |  |  |  |  |  |  |  |
| Applicant claims small entity status. See 37 CFR 1.27.  | Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) |  |  |  |  |  |  |  |
| Specification [Total Pages 10 ]  Specification [Total Pages 10 ]  Specification [Total Pages 10 ]   | a. Computer Readable Form (CRF)   |  |  |  |  |  |  |  |
| <ul> <li>Descriptive title of the invention</li> <li>Cross Reference to Related Applications</li> </ul>   | b. Specification Sequence Listing on:   |  |  |  |  |  |  |  |
| - Statement Regarding Fed sponsored R & D   | i. U CD-ROM or CD-R (2 copies); or  |  |  |  |  |  |  |  |
| <ul> <li>Reference to sequence listing, a table,<br/>or a computer program listing appendix</li> </ul>  | ii. 📗 paper   |  |  |  |  |  |  |  |
| - Background of the Invention   | c. Statements verifying identity of above copies                                |  |  |  |  |  |  |  |
| <ul> <li>Brief Summary of the Invention</li> <li>Brief Description of the Drawings (if filed)</li> </ul>  | ACCOMPANYING APPLICATION PARTS  |  |  |  |  |  |  |  |
| - Detailed Description  | 9. Assignment Papers (cover sheet & document(s))                                |  |  |  |  |  |  |  |
| - Claim(s) - Abstract of the Disclosure   | 10. 37 CFR 3.73(b) Statement (when there is an assignee) Power of Attorney      |  |  |  |  |  |  |  |
| 4.  | 11. English Translation Document (if applicable)                                |  |  |  |  |  |  |  |
| 5. Oath or Declaration [ Total Pages 1 ]  | 12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations     |  |  |  |  |  |  |  |
| a. Newly executed (original or copy)  | 13. Preliminary Amendment   |  |  |  |  |  |  |  |
| Copy from a prior application (37 CFR 1.63 (d)) b. (for continuation/divisional with Box 18 completed)  | 14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)        |  |  |  |  |  |  |  |
| i DELETION OF INVENTOR(S)   | 15. Certified Copy of Priority Document(s) (if foreign priority is claimed)     |  |  |  |  |  |  |  |
| Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR   | Monnyblication Request under 35 H S C 122                                       |  |  |  |  |  |  |  |
| 1.63(d)(2) and 1.33(b).   | (b)(2)(B)(i). Applicant must attach form PTO/SB/35                              |  |  |  |  |  |  |  |
| 6. Application Data Sheet. See 37 CFR 1.76  | or its equivalent.  |  |  |  |  |  |  |  |
|   | 17. Other:  |  |  |  |  |  |  |  |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supportion in an Application Data Sheet under 37 CFR 1.76:  | ply the requisite information below and in a preliminary amendment,             |  |  |  |  |  |  |  |
| Continuation Divisional Continuation-in-part (CIP)  | of prior application No.:   |  |  |  |  |  |  |  |
| Prior application information: Examiner:  | Group Art Unit:   |  |  |  |  |  |  |  |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under   |   |  |  |  |  |  |  |  |
| Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. |   |  |  |  |  |  |  |  |
| 19. CORRESPOND  | ENCE ADDRESS  |  |  |  |  |  |  |  |
| ✓ Customer Number or Bar Code Label   | or Correspondence address below   |  |  |  |  |  |  |  |
| l <i>Name</i>   | 586   |  |  |  |  |  |  |  |
| Rosenberg, Klein & Lee  |   |  |  |  |  |  |  |  |
| Address 3458 Ellicott Center Drive - Suite 10   | 1   |  |  |  |  |  |  |  |
| City Ellicott City  | State MD Zip Code 21043   |  |  |  |  |  |  |  |
| Country USA Tel   | ephone 410-465-6678 Fax 410-461-3067  |  |  |  |  |  |  |  |
| Name (Print/Type) Morton J. Rosenberg   | Registration No. (Attorney/Agent) 26,049  |  |  |  |  |  |  |  |
| Signature Mortm )   | Date 7 28/03  |  |  |  |  |  |  |  |

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PTO/SB/17 (05-03)
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| EEE TOANGMITTAL  | Complete if Know     |            |  |
|--|----------------------|------------|--|
| FEE TRANSMITTAL  | Application Number   |            |  |
| for FY 2003  | Filing Date          |            |  |
| ffective 01/01/2003. Patent fees are subject to annual revision. | First Named Inventor | GEORGE LIU |  |
| nective offortzoos. Paterit fees are subject to annual revision. |                      | T          |  |

E **Examiner Name** ✓ Applicant claims small entity status. See 37 CFR 1.27 Art Unit **TOTAL AMOUNT OF PAYMENT** (\$) 375.00 MR957-1372 Attorney Docket No.

| METHOD OF PAYMENT (check all that apply)  | T            | FEE CALCULATION (continued) |                     |                     |   |             |  |  |
|---|--------------|-----------------------------|---------------------|---------------------|---|-------------|--|--|
| Check Credit card Money Other None  | 3. AI        | 3. ADDITIONAL FEES          |                     |                     |   |             |  |  |
| Order Order   | Large E      | Large Entity   Small Entity |                     |                     |   |             |  |  |
| Denosit   | Fee          | Fee                         |                     | Fee                 | Fee Description   |             |  |  |
| Account   18-2011   | 1051         | ( <b>\$)</b><br>130         | <b>Code</b><br>2051 | ( <b>\$</b> )<br>65 | Surcharge - late filing fee or oath   | Fee Paid    |  |  |
| Number<br>Deposit   | 1052         | 50                          | 2052                |                     | Surcharge - late provisional filing fee or                                      |             |  |  |
| Account<br>Name   | 1032         | 30                          | 2002                | 25                  | cover sheet   |             |  |  |
| The Director is authorized to: (check all that apply)   | 1053         | 130                         | 1053                |                     | Non-English specification   |             |  |  |
| Charge fee(s) indicated below Credit any overpayments   | 1812         |                             | 1812 2              |                     | For filing a request for ex parte reexamination                                 | <del></del> |  |  |
| Charge any additional fee(s) during the pendency of this application  | n 1804       | 920*                        | 1804                | 920*                | Requesting publication of SIR prior to<br>Examiner action                       |             |  |  |
| Charge fee(s) indicated below, except for the filing fee  | 1805         | 1,840*                      | 1805                | 1,840*              | Requesting publication of SIR after   |             |  |  |
| to the above-identified deposit account.  |              |                             |                     |                     | Examiner action   |             |  |  |
| FEE CALCULATION   | 1251         | 110                         | 2251                | 55                  | Extension for reply within first month  | 1           |  |  |
| 1. BASIC FILING FEE   | 1252         | 410                         | 2252                | 205                 | Extension for reply within second month   |             |  |  |
| Large Entity Small Entity   | 1253         | 930                         | 2253                | 465                 | Extension for reply within third month  |             |  |  |
| Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)  | 1254         | 1,450                       | 2254                | 725                 | Extension for reply within fourth month   |             |  |  |
| 1001 750 2001 375 Utility filing fee \$ 375.00  | 1255         | 1,970                       | 2255                | 985                 | Extension for reply within fifth month  |             |  |  |
| 1002 330 2002 165 Design filing fee   | 1401         | 320                         | 2401                | 160                 | Notice of Appeal  |             |  |  |
| 1003 520 2003 260 Plant filing fee  | 1402         | 320                         | 2402                | 160                 | Filing a brief in support of an appeal  |             |  |  |
| 1004 750 2004 375 Reissue filing fee  | 1403         | 280                         | 2403                | 140                 | Request for oral hearing  |             |  |  |
| 1005 160 2005 80 Provisional filing fee   | 1451         | 1,510                       | 1451                | 1,510               | Petition to institute a public use proceeding                                   |             |  |  |
| SUBTOTAL (1) (\$) 375.00  | 1452         | 110                         | 2452                | 55                  | Petition to revive - unavoidable  |             |  |  |
|   | 1453         | 1,300                       | 2453                | 650                 | Petition to revive - unintentional  |             |  |  |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUI   | 1501         | 1,300                       | 2501                | 650                 | Utility issue fee (or reissue)  |             |  |  |
| Extra Claims below Fee Paid   | 1502         | 470                         | 2502                | 235                 | Design issue fee  |             |  |  |
| Total Claims 2 -20** = 0 x 9 = 0  | 1503         | 630                         | 2503                | 315                 | Plant issue fee   |             |  |  |
| Claims 2 - 3** = 0   X   42   = 0   | 1460         | 130                         | 1460                | 130                 | Petitions to the Commissioner   |             |  |  |
| Multiple Dependent 140 = 0  | 1807         | 50                          | 1807                | 50                  | Processing fee under 37 CFR 1.17(q)   |             |  |  |
| Large Entity   Small Entity<br>Fee Fee   Fee Fee Fee Description  | 1806         | 180                         | 1806                |                     | Submission of Information Disclosure Stmt                                       |             |  |  |
| Code (\$)   | 8021         | 40                          | 8021                | 40                  | Recording each patent assignment per  | . 11        |  |  |
| 1202 18 2202 9 Claims in excess of 20   | 1809         | 750                         | 2809                | 375                 | property (times number of properties) Filing a submission after final rejection | <del></del> |  |  |
| 1201 84 2201 42 Independent claims in excess of 3   | 1000         | , 50                        | 2003                | 0/3                 | (37 CFR 1.129(a))   |             |  |  |
| 1203 280 2203 140 Multiple dependent claim, if not paid   | 1810         | 750                         | 2810                | 375                 | For each additional invention to be   |             |  |  |
| 1204 84 2204 42 ** Reissue independent claims over original patent  | 1001         | 750                         | 2004                | 275                 | examined (37 CFR 1.129(b))  |             |  |  |
| 1205 18 2205 9 ** Reissue claims in excess of 20  | 1801<br>1802 | 750<br>900                  | 2801<br>1802        | 900                 | Request for Continued Examination (RCE)  Request for expedited examination      |             |  |  |
| and over original patent  | 1002         | 300                         | 1002                | 300                 | of a design application   |             |  |  |
| SUBTOTAL (2) (\$) 0.00  | Other t      | Other fee (specify)         |                     |                     |   |             |  |  |
| **or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00 |              |                             |                     |                     |   |             |  |  |
| SUBMITTED BY (Complete (if applicable)  |              |                             |                     |                     |   |             |  |  |
| Name (Print/Type) Morton   Rosenberg Registration No. 26 040 Telephone 410 465 6678                                       |              |                             |                     |                     |   |             |  |  |

(Attorney/Agent) Signature 0 Date WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## NOTE TO PTO PERSONNEL: THIS PATENT APPLICATION IS BEING FILED WITH <u>SMALL ENTITY STATUS</u>